

Southwest Georgia Workforce Development Board Policy/Procedure Name: Apprenticeship Policy

Policy/Procedure #: WIOA-76 Effective Date: October 1, 2024 Revision Date: November 7, 2024

Proposed Revision Date: September 16, 2025

PURPOSE

This policy provides guidance on the Apprenticeship Program including eligibility, elements, required documentation as well as program performance and requirements regarding the Eligible Training Provider List (ETPL).

A Registered Apprenticeship Program (RAP) is an employer-driven training model that combines on-the-job training learning with job-related technical instruction. RAPs have been validated and registered by the U.S. Department of Labor (USDOL), Office of Apprenticeship (OA), and must meet parameters established under the National Apprenticeship Act. RAPs are a written plan designed to move an apprentice from a low or no-skill entry-level position to full occupational proficiency. Local Workforce Development Areas (LWDAs) may use WIOA funds to support employers or apprentices during the RAP, as applicable.

STATE POLICY

Reference: Technical College System of Georgia – Office of Workforce Development (OWD)-Policy and Procedure Section 4.4.5 Registered Apprenticeship Programs

LOCAL POLICY

I. Program Requirements, Funding, Contract Requirements, Eligibility, Elements and Documentation

Program Requirements

The LWDA must use only WIOA funds for RAPs that are registered and in good standing with USDOL, OA and possess the following:

- a. Full-Time Employment: Apprentices supported with WIOA funds must have full-time employment, permanent employment throughout the duration of the RAP. Apprentices must be full-time employed and receiving wages during the WIOA-supported portion
- b. On-the-Job Training: RAPs supported with WIOA funds must have at least 2000 hours of worksite training for each year of the apprenticeship per RAP work process schedule
- c. Related Technical Instruction: RAPs supported with WIOA funds must have at least 144 contact hours of instruction for each year of the apprenticeship
- d. Wage Increases: RAPs supported with WIOA funds must have progressive wage increases that culminate in at least 75% of the journey worker-level wage as defined by the U.S. Bureau of Labor Statistics (BLS)
- e. National Occupational Credential: Every graduate of a Registered Apprenticeship program receives a nationally recognized credential, referred to as a Certificate of Completion, which is issued by the U.S. Department of Labor (USDOL). The LWDA may also consider other credentials apprentices receive when determining use of WIOA funds to support a RAP

<u>Funding</u>

The LWDA may use WIOA funds to support RAPs when determined appropriate and subject to availability of funding. The LWDA must ensure that funds used to support RAPs are in an in-demand occupation that leads to a sustainable wage as defined as at least \$13.00 per hour. Any exceptions require a formal request submitted for Workforce Development Board (WDB) Executive Director approval.

WIOA funds may be used to offset the cost of a RAP and are intended to provide benefit to the employer or apprentice. WIOA funds are not intended to cover the entire training costs of a RAP. Allowable uses of WIOA programs to fund RAPs include:

- i. Individual Training Accounts (ITAs)
- ii. On-the-Job Trainina
- iii. Incumbent Worker Training
- iv. Customized Training
- v. Supportive Services

The maximum funding per apprentice is subject to the availability of WIOA funds and the time spent in training must not exceed the program requirements outlined in the service activity.

As a note, staff must review a participant's file and ensure that all requirements have been met such as Selective Service Registration (males only) and Public Benefit Affidavit if enrolled into WIOA prior to 18th birthday and now 18 years of age before participation in this activity.

Contract Requirements

The LWDA must create a formal, written contract with the employer or group of employers that is signed prior to the delivery of service. Details of the contract must include the respective required elements of each service as required by state and local policies. In addition to contract requirements for the respective service, all WIOA-funded services used to support RAPS must address the following:

- a. The time period of the service, objectives of the service, allowable uses of funding, and amount of funding;
- b. The service is only provided for the apprentice to become proficient in the respective skills and, at most, until the apprentice completes the RAP;
- c. Services will only be provided for apprentices who are permanent, full0time employees, and prohibits seasonal, temporary, or intermittent employment as well as classifying apprentices as independent contractors;
- d. Requirement of the RAP to remain in good standing, active, and in compliance with applicable federal regulations, 29 CFR Part 29;
- e. Employers are required to employ and retain apprentices for the duration of the RAP, and for at least two years following the completion of the RAP;
- f. Apprentices, employers, and program must remain eligible for the duration of the RAP;
- g. Provision addressing termination of contract for lack of funding, failure to comply with requirements, or poor performance.
- Provision addressing compliance with federal, state and LWDA monitoring reviews and audits;
- i. And all other contract requirements determined necessary by the LWDA, the Southwest Georgia Workforce Development Board (WDB), or City of Colquitt.

All other WIOA funded services provided directly to an apprentice must have a signed participant agreement and be supported with adequate documentation consistent with state and local policies and procedures.

Eligibility

All RAPs must be registered, active, and in good standing with USDOL Office of Apprenticeship (OA) and the LWDA to be eligible for support through WIOA funding.

- a. Program Eligibility: To be eligible for WIOA-funded support, the RAP must:
 - i. Be connected to an eligible employer and apprentice per state and local policy,
 - ii. Be viable to operate sufficiently independent of WIOA funds based on factors including the employer's length of time in business, successful

- operating history, company attestation of sufficient resources to operate the RAP, or other factors developed by WorkSource Southwest Georgia;
- iii. Be tied to an in-demand occupation, as defined as defined by the LWDA policy [See local area Policy #29]
- iv. Have a sustainable wage, defined as at least [\$13.00] per hour, and lead to 75% of a journeyman-level wage;
- b. Employer Eligibility: To receive WIOA funding, the employer must:
 - i. Operate in the state of Georgia for at least one year;
 - ii. Employ at least five full-time, permanent employees;
 - iii. Have an active Employer Acceptance Agreement with the Apprenticeship Sponsor, unless the employer serves as the sponsor;
 - iv. Be the employer of record at the end of the RAP and reasonable able to retain the apprentice beyond two years after the completion of the RAP;
 - v. Employ the apprentice in Georgia during and after the RAP;
- c. Apprentice Eligibility: To receive WIOA funding, the apprentice must:
 - i. Be eligible to receive the respective WIOA funding mechanism
 - ii. Be employed at the start of the RAP and receive wages during the WIOAsupported portion of the RAP;

Employer Pre-Award Review

To ensure the success of a WIOA-supported RAP, the following must be reviewed and documented in the participant case file prior to award of WIOA funds:

- a. Approved Apprenticeship Standards;
- b. Employer Acceptance Agreements (EAAs) with each applicable employer;
- c. Apprenticeship Agreements (Completed ETA Form 671) for each apprentice; and
- d. Detailed Breakdown of Training Costs

Reporting

RAPs, including employers and apprentices, are subject to WIOA reporting requirements both programmatic and financial. Local staff must ensure that all-appropriately code all WIOA-supported services for RAPs are coded appropriately in the WorkSource Georgia Portal, as required for employers and apprentices for the purpose of WIOA's programmatic and financial reporting requirements.

Monitoring

All WIOA-supported RAPs are subject to local, state and federal monitoring. In the event of potential non-compliance, OWD, functioning as the State Apprenticeship Office, may conduct a review to determine violations of local, state, or federal WIOA and

Apprenticeship laws and regulations, which may result in, but not limited to, the following: a repayment of WIOA funds and referral to USDOL OA for deregistration RAP activities and services provided will be subject to federal and state monitoring, including site visits, interviews, and review of programmatic and financial documents related to the RAPs. The LWDA is required to conduct local monitoring or RAP activities, as applicable.

II. ETPL & Program Performance

All Registered Apprenticeship Programs (RAPs) operating in Georgia and registered through the USDOL Office of Apprenticeship (OA) are automatically eligible to be included on the State ETPL, but are not automatically added.

- A. RAPs, through the RAP Sponsor, must "opt-in" to be included on the State ETPL as outlined through OWD guidance. <u>Providers who are interested in including their programs on the EPTL may opt-in by completing an RAP ETPL Program Application and providing appropriate supplemental apprenticeship documentation. A separate application is required for each individual program/occupation.</u>
- B. RAPs that have opted in to State ETPL must be included on all local ETPLs.
- C. RAPs seeking to be included on State and local ETPLs are not subject to the initial eligibility requirements regarding new training providers or providers submitting new programs. (Ref State Policy and Procedure Manual Section 4.4.4)
- D. RAPs included on the State ETPL do not have continued eligibility requirements and will remain on the ETPL unless removed for one of the reasons listed below under II. Program Performance-Program Removal.

Program Elements

- A. RAPs receiving WIOA-funded services must support an in-demand occupation, as defined by local policy.
- B. RAPs receiving WIOA-funded services must be associated with full-time, permanent employment beginning at the start of the RAP and throughout the duration of the RAP. Apprentices must be full-time employed and receiving wages during the WIOA-supported portion of the program.
- C. RAPs supported with WIOA funds must have at least 2000 hours of worksite training (OJT) for each year of the apprenticeship. RAPs supported with WIOA funds must have at least 144 contact hours of instruction (RTI) for each year of the apprenticeship. Minimum OJT and RTI requirements are program-specific, and highly dependent on the applicable work process for the RAP occupation.
- <u>D. RAPs supported with WIOA funds must have progressive wage increases that culminate in at least 75% of the journeyworker-level wage.</u>
- E. Every graduate of a RAP receives a nationally recognized credential a certificate of completion issued by the USDOL. LWDAs are encouraged to consider other

<u>credentials apprentices receive when determining the use of WIOA funds to support</u> a RAP.

- <u>F. LWDAs shall consider and conduct adequate participant suitability when placing apprentices in WIOA- funded RAPs to ensure RAPs and associated employment possess characteristics of a Good Job (per TEGL 07-22), specifically:</u>
 - i. Sustainable and stable living wages;
 - ii. Connection to an accessible, long-term, in-demand (as defined by WIOA Section 29 U.S.C. Section 3102(23)) career pathway within the region.

Continued Eligibility

RA program sponsors appearing on the State ETPL will remain there as long as the program is registered or until the program sponsor notifies the OWD that it no longer wants to be included on the State ETPL. However, RAs may be removed if determined to have intentionally supplied inaccurate information or to have substantially violated any provision of Title I of WIOA (e.g., civil rights of discrimination violations) or the WIOA regulations, including 29 C.F.R. part 38. An RA 197 program whose eligibility is terminated due to intentionally supplying inaccurate information or substantially violating WIOA provisions will be terminated for not less than 2 years and is liable to repay all youth, adult and dislocated worker training funds, if received during the period of noncompliance.

If instances of substantial violation are reported to OWD, OWD will work in concert with the OA to make the determination of ineligibility. The opportunity for an appeal and hearing is described in the ETPL Appeal Procedures.

At minimum, OWD will conduct a semiannual review of RA programs including verification of the registration status and removal of any RA programs that are not currently registered or no longer wish to continue as ETPs, in collaboration with OA. Although RA programs are not required to provide ETP Performance reports, voluntary reporting of performance information is encouraged under WIOA regulations and outcomes for WIOA participants in WIOA funded RA programs must be included in the State's annual performance report. WIOA § 116 (d)(2).

Participant Documentation

<u>LWDAs must include the following additional documents in the participant case file for participants enrolled in a RAP:</u>

- i. Completed ETA Form 671
- ii. Applicable Signed and Executed Employer Acceptance Agreement (EAA)

Program Performance

RAPs included on the State ETPL are not subject to the same information reporting requirements as other non-RAP training providers.

RA programs are exempt from ETP performance reporting requirements outlined in WIOA Sections 116(d)(4) and 122, including additional ETP reporting requirements that may have been added by the state or local area. Specific performance requirements may not be established for RAPs; however,

A. V_voluntary reporting of performance information by RAPs—on the ETPL is encouraged. The state may only require information to verify the registration status of a RAP, in accordance with procedures established by the state.

In accordance with 20 CFR 680.470(e), voluntary reporting of WIOA performance information by RAPs on the ETPL is encouraged by ETA. As required by 20 CFR 677.230(b), states may include performance information voluntarily submitted by Registered Apprenticeship programs in the ETP report. In addition,

B. Ooutcomes for WIOA participants enrolled in in WIOA funded RAPs will be reported in the local and state's performance reports must be included in the State's annual performance report.

RAPs must remain registered and in good standing with the OA to remain on the State ETPL. OWD works in concert with the OA to verify registration status. If an issue arises, LWDAs should contact OWD's ETPL Compliance Team for assistance.

<u>Program Removal</u>

- A. RAPs may be removed from the State ETPL for the following reasons:
 - i. Written requests by RAP Sponsor to be removed by ETPL;
 - ii. Written requests by USDOL Office of Apprenticeship to remove RAP from ETPL;
 - iii. Deregistration (or other loss of good standing) by USDOL Office of Apprenticeship;
 - iv. RAP is found to have intentionally supplied inaccurate information;
 - v. RAP sponsor or provider of related instruction has been found to have substantially violated any provision of the WIOA Title I statute, WIOA or regulations (e.g., civil rights of discrimination violations), including, but not limited to, Parts 29 and 30 of Subtitle A.
- B. RAPs removed for reasons iv and v above will be considered terminated for a period of no less than two (2) years.
- C. RAPs removed for reasons iv through v above are liable to repay all WIOA funds expended during the period of noncompliance.
- D. If <u>a local area or OWD suspects</u>, identifies, or receives a report of <u>a instances of</u> substantial violation, <u>OWD</u> will notify the provider and the program will be temporarily inactivated from the ETPL pending the inquiry into the violation(s). If the violation(s) are substantiated, <u>OWD</u> will report the findings to the are reported to <u>OWD</u>, <u>OWD</u> will work in concert with the USDOL Office of Apprenticeship and the program will be removed from to determine if the RAP is terminated from the ETPL.

<u>Providers will be given the opportunity The opportunity for an to appeal the decision in accordance with the procedure outline in the State Policy and Procedure Manual Section 4.4.8, Part VI. and hearing is permitted and follows the ETPL Appeal Procedures:</u>

E. At a minimum, OWD will may conduct semiannual reviews of RAPs on the ETPL to verify active registration status. Updated program information, including standards and Appendices, may need to be supplied to OWD as part of the review process to ensure listed programs are accurate and verifiable. OWD willmay remove any RAPs that are not currently registered with USDOL Office of Apprenticeship, any RAPs where providers no longer wish to continue as ETPs, or it is found to not be operating in accordance with 29 CFR Part 29...

*Termination for the purposes of this section is defined separately from Removal, as termination implies waste, misuse, or fraud.

Performance

There are no WIOA performance requirements for RA programs and RA programs are not required to provide ongoing reports to the State or Local Workforce Development Boards (LWDBs). OWD will work in concert with the OA to encourage voluntary reporting of performance information.

RA programs must remain registered and in good standing with the OA to remain on the State ETPL. OWD works in concert with the OA to verify registration status.

LWDAs may not impose additional criteria, information or reporting requirements on RA programs. Additionally, if an LWDA has a local ETPL, RA programs should be included and should be noted as 'in-demand occupations". If an issue arises, LWDAs should contact OWD's Apprenticeship Team for assistance.

REFERENCES

Workforce Innovation and Opportunity Act (WIOA), Public Law 113-128, enacted July 22, 2014 Workforce Innovation and Opportunity Act Regulations, 20 CFR Parts 678, 680, 683 Reference: Technical College System of Georgia – Office of Workforce Development (OWD)-Policy and Procedure Section 4.4.5 Registered Apprenticeship Programs

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